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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert A. Foster

Assignee:

Financial Systems Technology Pty. Ltd.

Title:

DATA PROCESSING SYSTEM FOR COMPLEX PRICING AND

TRANSACTIONAL ANALYSIS

Serial No.:

09/535,573

Filing Date:

03/27/2000

Examiner:

Unknown

Group Art Unit:

Unknown

Docket No.:

M-4540-1C US

San Jose, California August 15, 2000

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, D. C. 20231

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(B)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application.

A PTO Form-1449 listing these documents is enclosed. Copies of these documents are not enclosed as all documents were previously cited by or submitted to the Patent Office in a prior application relied upon for priority under 35 U.S.C. 120 (37 C.F.R. § 1.98(d)). The prior application is U.S. Serial No. 08/904,716, filed August 1, 1997.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 15, 2000.

Attorney for Applicant

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Respectfully submitted,

Reg. No. 33,938

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